

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/606,011
			Filing Date	June 24, 2003
			First Named Inventor	Jay L. Gainsboro
			Group Art Unit Number	Not yet known
			Examiner Name	Not yet known
Total Number of Pages in This Submission	9*	Attorney Docket Number		18279-08041

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input type="checkbox"/> Copies of IDS Cited References <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
REMARKS: *Does not include cited reference pages.	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:	<i>Dorian Cartwright</i>		
Attorney/Reg. No.:	Dorian Cartwright, Reg. No. 53,653	Dated:	10/2/03

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I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<i>Dorian Cartwright</i>		
Typed or Printed Name:	Dorian Cartwright	Dated:	10/2/03
Express Mail Mailing Number (optional):			



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Jay L. Gainsboro
APPLICATION NO.: 10/606,011
FILING DATE: June 24, 2003
TITLE: COMPUTER BASED METHOD AND APPARATUS FOR CONTROLLING,
MONITORING, RECORDING AND REPORTING TELEPHONE ACCESS
EXAMINER: Not yet known
GROUP ART UNIT: Not yet known
ATTY. DKT. NO.: 18279-08041

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 10/2/03

By: Dorian Cartwright
Dorian Cartwright, Reg. No.: 53,853

COMMISSIONER FOR PATENTS
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ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

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- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. 09/222,130, issued as 6,611,583, filed on 29-Dec-1998, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

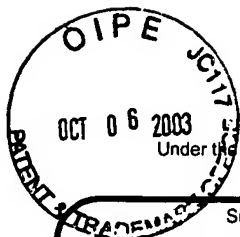
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
JAY L. GAINSBORO

Dated: 10/2/03

By: *Dorian Cartwright*
Dorian Cartwright, Reg. No.: 53,853
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
Tel.: (650) 335-7247
Fax.: (650) 938-5200

18279/08041/DOCS/1376097.1



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

C mplete if Known

Application N .	10/606,011
Filing Date	June 24, 2003
First Named Inventor	Jay L. Gainsboro
Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	18279-08041

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U.S. PATENT DOCUMENTS

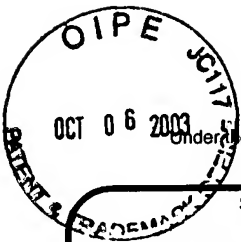
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A	US- 6,611,583	08-26-2003	Gainsboro
	B	US- 6,560,323	05-06-2003	Gainsboro
	C	US- 6,188,751	02-13-2001	Scherer
	D	US- RE 37,073	02-27-2001	Hammond
	E	US- 6,141,406	10-31-2000	Johnson
	F	US- 6,072,860	06-06-2000	Kek, et al.
	G	US- 6,052,454	04-18-2000	Kek, et al.
	H	US- 5,960,064	09-28-1999	Foladare, et al.
	I	US- 5,943,403	08-24-1999	Richardson, Jr., et al.
	J	US- 5,926,533	07-20-1999	Gainsboro
	K	US- 5,883,945	03-16-1999	Richardson, Jr., et al.
	L	US- 5,844,978	12-01-1998	Reuss, et al.
	M	US- 5,809,125	09-15-1998	Gammino
	N	US- 5,805,685	09-08-1998	McFarlen
	O	US- 5,799,068	08-25-1998	Kikinis, et al.
	P	US- 5,796,811	08-18-1998	McFarlen
	Q	US- 5,745,553	04-28-1998	Mirville, et al.
	R	US- 5,724,404	09-09-1998	Garcia, et al.
	S	US- 5,722,418	03-03-1998	Bro
	T	US- 5,655,013	08-05-1997	Gainsboro
	U	US- 5,651,056	07-22-1997	Eting, et al.
	V	US- 5,627,887	05-06-1997	Freedman
	W	US- 5,617,471	10-28-1997	Silverstein
	X	US- 5,606,604	02-25-1997	Rosenblatt, et al.
	Y	US- 5,583,934	12-10-1996	Zhou
	Z	US- 5,566,229	10-15-1996	Hou, et al.

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT****Complete if Known**

Application No.	10/606,011
Filing Dat	June 24, 2003
First Named Inventor	Jay L. Gainsboro
Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	18279-08041

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U.S. PATENT DOCUMENTS

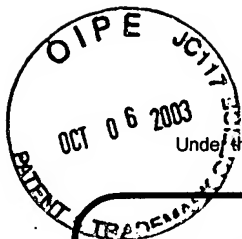
Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	AA	US- 5,539,812	02-14-1995	Huber, et al.
	AB	US- 5,535,261	07-09-1996	Brown, et al.
	AC	US- 5,483,593	01-09-1996	Gupta, et al.
	AD	US- 5,483,582	01-09-1996	Pugh, et al.
	AE	US- 5,471,519	11-28-1995	Howe, et al.
	AF	US- 5,465,293	11-07-1995	Chiller, et al.
	AG	US- 5,452,347	09-19-1995	Iglehart, et al.
	AH	US- 5,442,696	08-15-1995	Lindberg, et al.
	AI	US- 5,375,161	12-20-1994	Fuller, et al.
	AJ	US- 5,355,403	10-11-1994	Richardson, Jr., et al.
	AK	US- 5,351,287	09-27-1994	Bhattacharyya, et al.
	AL	US- 5,345,595	09-06-1994	Johnson, et al.
	AM	US- 5,329,578	07-12-1994	Brennan, et al.
	AN	US- 5,327,489	07-05-1994	Anderson, et al.
	AO	US- 5,325,427	06-28-1994	Dighe
	AP	US- 5,319,702	06-07-1994	Kitchin, et al.
	AQ	US- 5,311,589	05-10-1994	Bennett, et al.
	AR	US- 5,309,505	05-03-1994	Szlam, et al.
	AS	US- 5,305,312	04-19-1994	Fomek, et al.
	AT	US- 5,276,731	01-04-1994	Arbel, et al.
	AU	US- 5,274,698	12-28-1993	Jang
	AV	US- 5,229,764	07-20-1993	Matchett, et al.
	AW	US- 5,222,120	06-22-1993	McLeod, et al.
	AX	US- 5,210,789	05-11-1993	Jeffus, et al.
	AY	US- 5,200,995	04-06-1993	Gaukel, et al.
	AZ	US- 5,187,740	02-16-1993	Swaim, et al.

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Application No.	10/606,011
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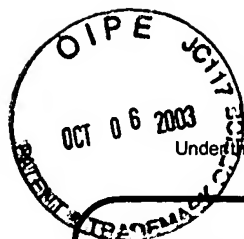
Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	BA	US- 5,163,083	11-10-1992	Dowden, et al.
	BB	US- 5,150,357	09-22-1992	Hopner, et al.
	BC	US- 5,131,024	07-14-1992	Pugh, et al.
	BD	US- 5,109,405	04-28-1992	Morganstein
	BE	US- 5,063,593	11-05-1991	Kwon
	BF	US- 5,054,059	10-01-1991	Stern, et al.
	BG	US- 5,033,088	07-16-1991	Shipman
	BH	US- 5,023,906	06-11-1991	Novas
	BI	US- 5,023,869	06-11-1991	Grover, et al.
	BJ	US- 4,993,068	02-12-1991	Piosenka, et al.
	BK	US- 4,937,862	06-26-1990	Kosich
	BL	US- 4,935,956	06-19-1990	Hellwarth, et al.
	BM	US- 4,933,967	06-12-1990	Lo, et al.
	BN	US- 4,933,966	06-12-1990	Hird, et al.
	BO	US- 4,924,488	05-08-01990	Kosich
	BP	US- 4,922,520	05-01-1990	Bernad, et al.
	BQ	US- 4,922,519	05-01-1990.	Daudelin
	BR	US- 4,901,341	02-13-1990	Carter, et al.
	BS	US- 4,899,375	02-06-1990	Bauer, et al.
	BT	US- 4,896,348	01-23-1990	Grantland, et al.
	BU	US- 4,885,765	12-05-1989	Shirakawa
	BV	US- 4,815,120	03-21-1989	Kosich
	BW	US- 4,799,255	01-17-1989	Billinger, et al.
	BX	US- 4,794,642	12-27-1988	Arbabzadah, et al.
	BY	US- 4,782,516	11-1-1988	Maybach, et al.
	BZ	US- 4,726,057	02-16-1988	Doerry, et al.

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Application No.	10/606,011
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Art Unit	Not yet known
Examiner Name	Not yet known
Attorney Docket Number	18279-08041

Sheet 4 of 5

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	CA	US- 4,696,031	09-22-1987	Freudberg, et al.
	CB	US- 4,602,129	07-22-1986	Matthews, et al.
	CC	US- 4,559,416	12-17-1985	Theis, et al.
	CD	US- 4,518,825	05-21-1985	Brinkerhoff, et al.
	CE	US- 4,405,833	09-20-1983	Cave, et al.
	CF	US- 4,310,726	01-12-1982	Asmuth
	CG	US- 4,188,508	02-12-1980	Rogers, et al.
	CH	US-4,054,756	10-08-1991	Riemscheid, et al.
	CI	US-4,002,848	01-11-1977	Stein
	CJ	US- 4,001,513	01-04-1977	Naylor
	CK	US- 3,851,121	11-26-1974	Marvin

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	CL	JP 5-30193	5/93		

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	CM	Bahl, L. "A Maximum Likelihood Approach to Continuous Speech Recovery", Readings in Speech Recognition Ed. A. Waibel and K. Lee, Morgan Kaufmann Publishers, pp 308-319, IEEE 1983	
	CN	Batten, A. "Personal Communications Service and the Intelligent Network", British Telecommunications Engineering, vol 9, pp 88-91 Aug. 1990	
	CO	Lee, K. "Large-Vocabulary Speaker-Independent Continuous Speech Recognition Using HMM", Carnegie Mellon University Department of Electrical and Computer Engineering, CMU-CS-88-148 April 1988	

Examiner Signature		Date Considered	
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Sheet	5	of	5	Attorney Docket Number	18279-08041

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	CM	System 20, November 1992	
	CN	Telematic "ConQuest III Intimate Telephone System" November 1992	
	CO	LazerVoice, Digital Recording System Inmate Services, 1997-98 Schlumberger Technologies, Inc./LazerVoice ,STIL V0222 LazerVoice User's Manual - Version 2.22	
	CP	LazerPhone User Reference Manual	
	CQ	LazerPhone, Inmate Telephone System, Users Manual, 1998 Schlumberger Technologies, Inc./Global Tel*Link, LazerPhone User's Manual - Version 1.0	
	CR	LazerPhone, Powerful Performance Uncompromising Standards, 1998	
	CS	LazerPhone Technical Manual, System Overview	

18279/08041/DOCS/1376096.1

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